

# SignCube base components

OPENLiMiT

## ISIS-MTT Review

Version 1.0  
Date January 17, 2007

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Secorvo herewith confirms, that for the product

## **SignCube base components**

manufactured by

### **OPENLiMiT Holding AG**

Zugerstrasse 76 b, CH - 6341 Baar, Switzerland

an ISIS-MTT-compliance review of the manufacturer's declaration has been performed.

**The product is ISIS-MTT-compliant  
with respect to the Component Conformance Statement and  
Manufacturer's Declaration provided by OPENLiMiT**

We recommend to award the

**ISIS-MTT-conformance label ("ISIS-MTT Siegel")**

for the

**product classes "Document-Signing-Client" and "SigG-Profile  
Compliant Document-Signing-Client" with the additional  
assignment of the functionality class "Processing of attribute  
certificates"**

ISIS-MTT Specification Version: 1.1

ISIS-MTT Test Specification Version: 1.1

ISIS-MTT Compliance Criteria Version 1.1

ISIS-MTT Testbed Version: Release 2.1.1

Karlsruhe, January 17, 2007

Petra Barzin

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## Acronyms

ASN.1	Abstract Syntax Notation no. 1
CRL	Certificate Revocation List
FC	Functionality Class
LDAP	Lightweight Directory Access Protocol
OCSP	Online Certificate Status Protocol
PKC	Public Key Certificate
PKCS	Public Key Cryptography Standard
QC	Qualified Certificate
SigG	Signaturgesetz
SP	Service Pack
URL	Uniform Ressource Locator

## 1 Summarized Review Results

The product falls into the product classes “Document-Signing-Client” and “SigG-Profile Compliant Document-Signing-Client”. Functionality classes 5, 6, 8, 19, 23, 24, 29, 30, 33, 34 and 36 are declared to be conformant to ISIS-MTT and were tested during the compliance review.

All tests were passed, two with warnings. The overall result of the assessment is “**passed**”.

These are the summarized results:

FC	Description	Result
5	Processing of public key certificates	passed
6	Processing of attribute certificates	passed
8	Processing of CRLs	passed
19	File signature and encryption	passed
23	Transport of an OCSP Request	passed
24	Retrieval of OCSP responses	passed with warning
29	Processing of a valid, 3-step certificate path	passed
30	Processing of an invalid certificate path	passed with warning
33	Processing of SigG-conforming PKC	passed
34	Processing of SigG-conforming ACs	passed
36	Processing of an OCSP Response of a SigG-conforming OCSP-server	passed

Note that in addition to the declared product classes “Document-Signing-Client” and “SigG-Profile Compliant Document-Signing-Client” also all functionality classes required for product class “OCSP client” are covered and passed.

## 2 Overview of the Review Results

All tests steps of the functionality classes were passed, two with warnings:

“Retrieval of OCSP responses” returned the following three warnings: The optional field *revocationReason* for a revoked certificate within an OCSP response is not displayed by OPENLiMiT SignCubes. The optional OCSP response extension *nonce* is just displayed as OID 1.3.6.1.5.5.7.48.1.2. The optional OCSP response extension *CrlID* is just displayed as OID 1.3.6.1.5.5.7.48.1.3

“Processing of an invalid certificate path” passed with warnings because the user can not notice at first sight that the certificate path is invalid due to expiration, revocation or a missing issuer certificate. He has to select the third tab of the certificate viewer, select a certificate in the chain and scroll down to the end of the information given. There he finally finds the information about expiration, revocation or a missing issuer certificate.

The details of the tests results per test group is given in Annex I: Test Log.

Signing, encryption and decryption with OPENLiMiT SignCubes is only possible using a smartcard and requires a special license from OPENLiMiT. Verification and viewing certificates or CRLs can be done without smartcard and license. The provided signed and encrypted CMS files of the generation tests were sucessfully verified and decrypted by the ISIS-MTT Testbed. But Secorvo could not repeat the processing test case “decrypting a CMS enveloped file (TCPFED-1)” due to the missing smartcard. Therefore the respective results in the ISIS-MTT testbed log file are set to “failed”. But this review and our recommendation relies on the manufacturer’s declaration and test reports provided by OPENLiMiT. Therefore this test case is regarded as “passed”. Similarly, not all the detailed test steps of all processing tests could be verified with the standard user interface of the product; however relying on the manufacturer’s declaration and their internal knowledge of the product, these steps have been regarded as “passed”.

### 3 Technical Data

For the review

- the OPENLiMiT SignCubes base components version 2.1 and
- the ISIS-MTT Testbed Prototype Release 2.1.1

have been used.

## 4 Test Procedure

### 4.1 Installation

The setup OLReader211.exe has been installed on Windows XP Professional with Service Pack 2.

### 4.2 Configuration

Because the test certificates of the ISIS-MTT Testbed do not contain the URL of the ISIS-MTT Testbed OCSP responder the OCSP responder must be configured manually. Add the following lines to the file C:\Programme\SignCubes\siq4OCSP0.ini:

```
; ISIS-MTT Tests
[*ISIS*]
URL=http://172.17.98.43:8000
Module=siq4OCSP.dll
Flags=;IgnoreCertResponderURL;
```

### 4.3 Preparation of the tests

Copy the test data generated by OPENLiMiT to the test machine. The test data includes certificates, CRLs and signed and encrypted CMS files from the ISIS-MTT Testbed as well as signed and encrypted CMS files generated by OPENLiMiT SignCubes. The certificates from the ISIS-MTT Testbed were renamed to the file extension "cer", the signed and encrypted CMS files of the ISIS-MTT Testbed were renamed to the file extension "p7m".

### 4.4 Performing the tests

The conformance claim sheet and the test results of OPENLiMiT were checked for consistency and completeness. Most tests were repeated by Secorvo using the ISIS-MTT Testbed and the results were compared to the results provided by OPENLiMiT.

The data provided by OPENLiMiT were used to perform the test steps as required by the Testbed. It was checked whether the product SignCube base components is compliant to ISIS-MTT.



## 5 Component Conformance Statement

CONFORMANCE CLAIMS OF COMPONENTS UNDER TEST (MANUFACTURERS) REGARDING THE FUNCTIONALITY CLASSES OF PRODUCTS				
PRODUCT AND MANUFACTURER: SIGNCUBE BASE COMPONENTS, DEUTSCHER SPARKASSEN VERLAG GMBH				
REFERENCE NUMBER: SECORVO-00008				
FUNCTIONALITY CLASSES		CLAIMED SUPPORT		
#	NAME	YES	NO	REMARKS
	<b>Generation and processing of certificates and CRLS</b>			
1	Generation of public key certificates	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
2	Generation of attribute certificates	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
3	Generation of cross certificates	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
4	Generation of CRLs	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
5	Processing of public key certificates	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
6	Processing of attribute certificates	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
7	Processing of cross certificates	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
8	Processing of CRLs	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	<b>CMC</b>			
9	“Simple CMC” in EEs	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
10	“Simple CMC” in CAs	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	<b>Generation and processing of S/MIME messages</b>			
11	Generation of an S/MIME Message for Enveloped Data	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
12	Generation of an S/MIME Message for Signed Data	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
13	Generation of an S/MIME Message for Transporting Certificates in Certification Responses	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
14	Generation of a Multipart/Signed S/MIME Message	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
15	Processing of a S/MIME message for enveloped-data	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
16	Processing of S/MIME messages with signed data	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
17	Processing of a valid S/MIME message for transporting certificates in certification responses (certs-only)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
18	Processing of a Multipart/Signed S/MIME message	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
19	File signature and encryption	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
20	<b>LDAP</b>			
21	LDAP client	<input type="checkbox"/>	<input checked="" type="checkbox"/>	

CONFORMANCE CLAIMS OF COMPONENTS UNDER TEST (MANUFACTURERS) REGARDING THE FUNCTIONALITY CLASSES OF PRODUCTS				
PRODUCT AND MANUFACTURER: SIGNCUBE BASE COMPONENTS, DEUTSCHER SPARKASSEN VERLAG GMBH				
REFERENCE NUMBER: SECORVO-00008				
FUNCTIONALITY CLASSES			CLAIMED SUPPORT	
#	NAME	YES	NO	REMARKS
22	LDAP server	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	<b>OCSP-Clients and Servers</b>			
23	Transport of an OCSP Request	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
24	Retrieval of OCSP responses	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
25	Retrieval of an OCSP request	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
26	Transport of an OCSP response	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	<b>TSP</b>			
27	TSP client	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
28	TSP server	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	<b>Certificate path validation</b>			
29	Processing of a valid, 3-step certificate path	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
30	Processing of an invalid certificate path	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	<b>ISIS-MTT SigG-Profile</b>			
31	Generation of SigG-conforming PKCs	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
32	Generation of SigG-conforming ACs	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
33	Processing of SigG-conforming PKC	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
34	Processing of SigG-conforming ACs	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
35	Generation of an OCSP Response of SigG-conforming client	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
36	Processing of an OCSP Response of a SigG-conforming OCSP-server	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	<b>PKCS#11</b>			
37	PKCS#11 general functions	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
38	PKCS#11 functions for slot- and token management	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
39	PKCS#11 functions for session management	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
40	PKCS#11 functions for session management – optional functions	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
41	PKCS#11 functions for object management	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
42	PKCS#11 functions for encryption	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
43	PKCS#11 functions for decryption	<input type="checkbox"/>	<input checked="" type="checkbox"/>	

CONFORMANCE CLAIMS OF COMPONENTS UNDER TEST (MANUFACTURERS) REGARDING THE FUNCTIONALITY CLASSES OF PRODUCTS				
PRODUCT AND MANUFACTURER: SIGNCUBE BASE COMPONENTS, DEUTSCHER SPARKASSEN VERLAG GMBH				
REFERENCE NUMBER: SECORVO-00008				
FUNCTIONALITY CLASSES		CLAIMED SUPPORT		
#	NAME	YES	NO	REMARKS
44	PKCS#11 functions for message digesting	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
45	PKCS#11 functions for signing	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
46	PKCS#11 functions for signing – optional functions	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
47	PKCS#11 functions for verification	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
48	PKCS#11 functions for verification – optional functions	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
49	PKCS#11 functions for combined cryptographic operations	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
50	PKCS#11 functions for combined cryptographic operations – optional functions	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
51	PKCS#11 functions for key management	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
52	PKCS#11 functions for generation of random numbers	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
53	PKCS#11 functions for parallel functions management	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
54	PKCS#11 functions for stubs	<input type="checkbox"/>	<input checked="" type="checkbox"/>	

## 6 Annex I: Test Log

All tests which produced the result „Failed“ are colored in the following manner:

- **red** for test results which clearly indicate a „Failed“.
- **green** for test results which produced a „Failed“ by the ISIS-MTT Testbed Release 2.1.0 but have been reevaluated by the tester and must be considered as „Passed“.

Starting Test Session for: Petra Barzin

Date: Tue Jan 16 17:53:17 CET 2007

Component Under Test

Manufacturer: OpenLimit

Product Name: Basiskomponenten

Version: Version 2.1

## 6.1 Test Case TCPPKC-1

Starting test case TCPPKC-1  
Date: Tue Jan 16 18:13:57 CET 2007  
Test step 1 (Certificate) -- passed  
Test step 2 (signatureAlgorithm) -- passed  
Test step 3 (signature) -- passed  
Test step 4 (version) -- passed  
Test step 5 (serialNumber) -- passed  
Test step 6 (issuer) -- passed  
Test step 7 (validity) -- passed  
Test step 8 (subject) -- passed  
Test step 9 (subjectPublicKeyInfo) -- passed  
Test step 10 (issuerUniqueID) -- passed  
Test step 11 (subjectUniqueID) -- passed  
Test step 12 (extensions) -- passed  
End of test case TCPPKC-1  
Test case passed  
Date: Tue Jan 16 18:13:57 CET 2007

## 6.2 Test Case TCPAC-1

Starting test case TCPAC-1  
Date: Tue Jan 16 18:30:55 CET 2007  
Test step 1 (AttributeCertificate) -- passed  
Test step 2 (signatureAlgorithm) -- passed  
Test step 3 (signatureValue) -- passed  
Test step 4 (version) -- passed  
Test step 5 (subject) -- passed  
Test step 6 (issuer) -- passed  
Test step 7 (serialNumber) -- passed  
Test step 8 (attrCertValidityPeriod) -- passed  
Test step 9 (attributes) -- passed  
Test step 10 (issuerUniqueID) -- passed  
Test step 11 (extensions) -- passed  
End of test case TCPAC-1  
Test case passed  
Date: Tue Jan 16 18:30:55 CET 2007

### 6.3 Test Case TCPCRL-1

Starting test case TCPCRL-1  
Date: Tue Jan 16 18:57:33 CET 2007  
Test step 1 (CertificateList) -- passed  
Test step 2 (signatureAlgorithm) -- passed  
Test step 3 (signatureValue) -- passed  
Test step 3a (version) -- passed  
Test step 4 (issuer) -- passed  
Test step 5 (thisUpdate) -- passed  
Test step 6 (nextUpdate) -- passed  
Test step 7 (revokedCertificates) -- passed  
Test step 7 a) (userCertificate) -- passed  
Test step 7 b) (revocationDate) -- passed  
Test step 7 c) (crlEntryExtensions) -- passed  
Test step 8 (crlExtensions) -- passed  
End of test case TCPCRL-1  
Test case passed  
Date: Tue Jan 16 18:57:33 CET 2007

### 6.4 Test Case SIGG-PKC

Starting test case SIGG-PKC  
Date: Tue Jan 16 19:28:34 CET 2007  
Test step 1 (OIDs for CertificatePolicies) -- passed  
Test step 2 (SubjectDirectoryAttributes) -- passed  
Remarks: Erweiterung ist NICHT im Zertifikat vom Testbed enthalten!  
Test step 3 (QCStatements) -- passed  
Test step 4 (LiabilityLimitationFlag) -- passed  
Remarks: Ist im Zertifikat vom Testbed enthalten (entgegen der Aussage in den OpenLimit Testergebnissen)!  
Test step 4a (DateOfCertCen) -- passed  
Test step 5 (Procuration) -- passed  
Test step 6 (Admission) -- passed  
Test step 7 (MonetaryLimit) -- passed  
Test step 8 (DeclarationOfMajority) -- passed  
Test step 9 (Restriction) -- passed  
Test step 10 (AdditionalInformation) -- passed

End of test case SIGG-PKC  
Test case passed  
Date: Tue Jan 16 19:28:34 CET 2007

## 6.5 Test Case SIGG-AC

Starting test case SIGG-AC  
Date: Tue Jan 16 19:41:14 CET 2007  
Test step 1 (OIDs for CertificatePolicies) -- passed  
Test step 2 (QCStatements) -- passed  
Test step 3 (LiabilityLimitationFlag) -- passed  
Remarks: Die Erweiterung LiabilityLimitationFlag ist in dem Testdaten des Testbeds - entgegen der Aussagen aus den Openlimit Testergebnissen enthalten!  
Test step 4 (SubjectDirectoryAttributes) -- passed  
Test step 5 (Procuration) -- passed  
Test step 6 (Admission) -- passed  
Test step 7 (MonetaryLimit) -- passed  
Test step 8 (DeclarationOfMajority) -- passed  
Test step 9 (Restriction) -- passed  
Test step 10 (AdditionalInformation) -- passed  
Remarks: Die Erweiterung "additionalInformation" ist nicht in den Testdaten des Testbeds enthalten.  
End of test case SIGG-AC  
Test case passed  
Date: Tue Jan 16 19:41:14 CET 2007

## 6.6 Test Case TCGFED-1

Starting test case TCGFED-1  
Date: Tue Jan 16 19:45:55 CET 2007  
Test step 0 (parse ASN.1) -- passed  
Test step 1 (ContentType) -- passed  
Test step 2 (Version) -- passed  
Test step 3 (OriginatorInfo) -- passed  
Test step 4 (RecipientInfos) -- passed  
Test step 5 (KeyTransRecipientInfo (ktri)) -- passed  
Test step 6 (ktriVersion) -- passed  
Test step 7 (ktriRecipientIdentifier) -- passed  
Remarks: RecipientIdentifier certificate serial number is "19"

Test step 8 (ktriKeyEncryptionAlgorithm) -- passed  
Remarks: Algorithm is "rsaEncryption"  
Test step 9 (ktriEncryptedKey) -- passed  
Test step 10 (EncryptedContentInfoContentType) -- passed  
Test step 11 (EncryptedContentInfoEncryptionAlgorithm) -- passed  
Remarks: Algorithm is "des-EDE3-CBC"  
Test step 12 (EncryptedContentInfoEncryptedContent) -- passed  
Test step 13 (UnprotectedAttributes) -- passed  
End of test case TCGFED-1  
Test case passed  
Date: Tue Jan 16 19:45:56 CET 2007

## 6.7 Test Case TCGFSD-1

Starting test case TCGFSD-1  
Date: Tue Jan 16 19:46:34 CET 2007  
Test step 0 (parse ASN.1) -- passed  
Test step 1 (ContentType) -- passed  
Test step 2 (Version) -- passed  
Remarks: Version is "1"  
Test step 3 (DigestAlgorithm) -- passed  
Test step 4 (eContentType) -- passed  
Test step 5 (eContent) -- passed  
Test step 6 (Certificates) -- passed  
Remarks: Certificate set is complete  
Test step 7 (CRLs) -- passed  
Remarks: No CRLs found  
Test step 8 (SignerInfoVersion) -- passed  
Test step 9 (SignerInfoSID) -- passed  
Remarks: SerialNumber is "799687457"  
Test step 10 (SignerInfoDigestAlgorithm) -- passed  
Remarks: Algorithm is "sha1"  
Test step 11 (SignerInfoSignedAttributes) -- passed  
Test step 12/13 (SignerInfoSignedAttributesContentType) -- passed  
Test step 14/15 (SignerInfoSignedAttributesMessageDigest) -- passed  
Test step 16/17 (SignerInfoSignedAttributesSigningTime) -- passed  
Test step 18/19 (SignerInfoSignedAttributesOtherSigCert) -- passed  
Remarks: OtherSigCert not present

Test step 20/21 (SignerInfoSignedAttributesSigningCertificate) -- passed

Remarks: SigningCertificate present

Test step 22 (SignerInfoSignatureAlgorithm) -- passed

Test step 23 (SignerInfoSignature) -- passed

Test step 24 (SignerInfoUnsignedAttributes) -- passed

Remarks: No UnsignedAttributes found

Test step 25/26 (SignerInfoUnsignedAttributesCertificateRefs) -- passed

Remarks: No UnsignedAttributes found

Test step 27/28 (SignerInfoUnsignedAttributesRevocationRefs) -- passed

Remarks: No UnsignedAttributes found

Test step 29/30 (SignerInfoUnsignedAttributesEscTimeStamp) -- passed

Remarks: No UnsignedAttributes found

Test step 31/32 (SignerInfoUnsignedAttributesCountersignature) -- passed

Remarks: No UnsignedAttributes found

End of test case TCGFSD-1

Test case passed

Date: Tue Jan 16 19:46:35 CET 2007

## 6.8 Test Case TCGFSD-2

Starting test case TCGFSD-3

Date: Tue Jan 16 19:47:24 CET 2007

Test step 0 (parse ASN.1) -- passed

Test step 1 (ContentType) -- passed

Test step 2 (Version) -- passed

Remarks: Version is "1"

Test step 3 (DigestAlgorithm) -- passed

Test step 4 (eContentType) -- passed

Test step 5 (eContent) -- passed

Test step 6 (Certificates) -- passed

Remarks: Certificate set is complete

Test step 7 (CRLs) -- passed

Remarks: No CRLs found

Test step 8 (SignerInfoVersion) -- passed

Test step 9 (SignerInfoSID) -- passed



Remarks: SerialNumber is "799687457"  
Test step 10 (SignerInfoDigestAlgorithm) -- passed  
Remarks: Algorithm is "sha1"  
Test step 11 (SignerInfoSignedAttributes) -- passed  
Test step 12/13 (SignerInfoSignedAttributesContentType) -- passed  
Test step 14/15 (SignerInfoSignedAttributesMessageDigest) -- passed  
Test step 16/17 (SignerInfoSignedAttributesSigningTime) -- passed  
Test step 18/19 (SignerInfoSignedAttributesOtherSigCert) -- passed  
Remarks: OtherSigCert not present  
Test step 20/21 (SignerInfoSignedAttributesSigningCertificate) -- passed  
Remarks: SigningCertificate present  
Test step 22 (SignerInfoSignatureAlgorithm) -- passed  
Test step 23 (SignerInfoSignature) -- passed  
Test step 24 (SignerInfoUnsignedAttributes) -- passed  
Remarks: No UnsignedAttributes found  
Test step 25/26 (SignerInfoUnsignedAttributesCertificateRefs) -- passed  
Remarks: No UnsignedAttributes found  
Test step 27/28 (SignerInfoUnsignedAttributesRevocationRefs) -- passed  
Remarks: No UnsignedAttributes found  
Test step 29/30 (SignerInfoUnsignedAttributesEscTimeStamp) -- passed  
Remarks: No UnsignedAttributes found  
Test step 31/32 (SignerInfoUnsignedAttributesCountersignature) -- passed  
Remarks: No UnsignedAttributes found  
End of test case TCGFSD-3  
Test case passed  
Date: Tue Jan 16 19:47:25 CET 2007

## 6.9 Test Case TCPFED-1

Starting test case TCPFED-1

Date: Tue Jan 16 20:00:24 CET 2007

Test step 1 (contentType) -- failed

Remarks: Konnte nicht nachvollzogen werden, da der private Entschlüsselungsschlüssel nicht vorlag.

Test step 2 (content.version) -- failed

Remarks: Konnte nicht nachvollzogen werden, da der private Entschlüsselungsschlüssel nicht vorlag.

Test step 3 (content.originator-Info) -- failed

Remarks: Konnte nicht nachvollzogen werden, da der private Entschlüsselungsschlüssel nicht vorlag.

Test step 4 (content.recipient-Infos) -- failed

Remarks: Konnte nicht nachvollzogen werden, da der private Entschlüsselungsschlüssel nicht vorlag.

Test step 5 (content.recipient-Infos.ktri) -- failed

Remarks: Konnte nicht nachvollzogen werden, da der private Entschlüsselungsschlüssel nicht vorlag.

Test step 6 (content.recipient-Infos.ktri.version) -- failed

Remarks: Konnte nicht nachvollzogen werden, da der private Entschlüsselungsschlüssel nicht vorlag.

Test step 7 (content.recipient-Infos.ktri.rid) -- failed

Remarks: Konnte nicht nachvollzogen werden, da der private Entschlüsselungsschlüssel nicht vorlag.

Test step 8 (content.recipient-Infos.ktri .key-EncryptionAlgorithm) -- failed

Remarks: Konnte nicht nachvollzogen werden, da der private Entschlüsselungsschlüssel nicht vorlag.

Test step 9 (content.recipient-Infos.ktri.encryptedKey) -- failed

Remarks: Konnte nicht nachvollzogen werden, da der private Entschlüsselungsschlüssel nicht vorlag.

Test step 10 (content.encrypted-ContentInfo. contentType) -- failed

Remarks: Konnte nicht nachvollzogen werden, da der private Entschlüsselungsschlüssel nicht vorlag.

Test step 11 (content.encrypted-ContentInfo.<BR>contentEncryption-Algorithm) -- failed

Remarks: Konnte nicht nachvollzogen werden, da der private Entschlüsselungsschlüssel nicht vorlag.

Test step 12 (content.encrypted-ContentInfo.<BR>encryptedContent) -- failed

Remarks: Konnte nicht nachvollzogen werden, da der private Entschlüsselungsschlüssel nicht vorlag.

Test step 13 (content.unprotected-Attrs) -- failed

Remarks: Konnte nicht nachvollzogen werden, da der private Entschlüsselungsschlüssel nicht vorlag.

End of test case TCPFED-1

Test case failed

Date: Tue Jan 16 20:00:24 CET 2007

## 6.10 Test Case TCPFSD-1

Starting test case TCPFSD-1

Date: Tue Jan 16 20:05:23 CET 2007

Test step 1 (contentType) -- passed

Test step 2 (content.version) -- passed

Test step 3 (content.digest-Algorithms) -- passed

Test step 4 (content.encap-ContentInfo.eContentType) -- passed

Test step 5 (content.encap-ContentInfo.eContent) -- passed

Test step 6 (content.certificates) -- passed

Test step 7 (content.crls) -- passed

Test step 8 (content.signerInfos.version) -- passed

Test step 9 (content.signerInfos.sid) -- passed

Test step 10 (content.signerInfos.digestAlgorithm) -- passed

Test step 11 (content.signerInfos.signedAttrs) -- passed

Test step 12 (content.signerInfos.signedAttrs.attrType) -- passed

Test step 13 (content.signerInfos.signedAttrs.attrValues) -- passed

Test step 14 (content.signerInfos.signedAttrs.attrType) -- passed

Test step 15 (content.signerInfos.signedAttrs.attrValues) -- passed

Test step 16 (content.signerInfos.signedAttrs.attrType) -- passed

Test step 17 (content.signerInfos.signedAttrs.attrValues) -- passed

Test step 18 (content.signerInfos.signedAttrs.attrType) -- passed

Test step 19 (content.signerInfos.signedAttrs.attrValues) -- passed

Test step 20 (content.signerInfos.signedAttrs.attrType) -- passed

Test step 21 (content.signerInfos.signedAttrs.attrValues) -- passed

Test step 22 (content.signerInfos.signatureAlgorithm) -- passed

Test step 23 (content.signerInfos.signature) -- passed

Test step 24 (content.signerInfos.unsignedAttrs) -- passed

Test step 25 (content.signerInfos.unsignedAttrs.attrType) -- passed

Test step 26 (content.signerInfos.unsignedAttrs.attrValues) --  
passed

Test step 27 (content.signerInfos.unsignedAttrs.attrType) -- passed

Test step 28 (content.signerInfos.unsignedAttrs.attrValues) --  
passed

Test step 29 (content.signerInfos.unsignedAttrs.attrType) -- passed

Test step 30 (content.signerInfos.unsignedAttrs.attrValues) --  
passed

Test step 31 (content.signerInfos.unsignedAttrs.attrType) -- passed

Test step 32 (content.signerInfos.unsignedAttrs.attrValues) --  
passed

End of test case TCPFSD-1

Test case passed

Date: Tue Jan 16 20:05:23 CET 2007

## 6.11 Test Case TCPFSD-2

Starting test case TCPFSD-2

Date: Tue Jan 16 20:11:07 CET 2007

Test step 1 (contentType) -- passed

Test step 2 (content.version) -- passed

Test step 3 (content.digest-Algorithms) -- passed

Test step 4 (content.encap-ContentInfo.eContentType) -- passed

Test step 5 (content.encap-ContentInfo.eContent) -- passed

Test step 6 (content.certificates) -- passed

Test step 7 (content.crls) -- passed

Test step 8 (content.signerInfos.version) -- passed

Test step 9 (content.signerInfos.sid) -- passed

Test step 10 (content.signerInfos.digestAlgorithm) -- passed

Test step 11 (content.signerInfos.signedAttrs) -- passed

Test step 12 (content.signerInfos.signedAttrs.attrType) -- passed

Test step 13 (content.signerInfos.signedAttrs.attrValues) -- passed

Test step 14 (content.signerInfos.signedAttrs.attrType) -- passed

Test step 15 (content.signerInfos.signedAttrs.attrValues) -- passed

Test step 16 (content.signerInfos.signedAttrs.attrType) -- passed

Test step 17 (content.signerInfos.signedAttrs.attrValues) -- passed

Test step 18 (content.signerInfos.signedAttrs.attrType) -- passed

Test step 19 (content.signerInfos.signedAttrs.attrValues) -- passed

Test step 20 (content.signerInfos.signedAttrs.attrType) -- passed

Test step 21 (content.signerInfos.signedAttrs.attrValues) -- passed

Test step 22 (content.signerInfos.signatureAlgorithm) -- passed

Test step 23 (content.signerInfos.signature) -- passed

Test step 24 (content.signerInfos.unsignedAttrs) -- passed

Test step 25 (content.signerInfos.unsignedAttrs.attrType) -- passed

Test step 26 (content.signerInfos.unsignedAttrs.attrValues) --  
passed

Test step 27 (content.signerInfos.unsignedAttrs.attrType) -- passed

Test step 28 (content.signerInfos.unsignedAttrs.attrValues) --  
passed  
Test step 29 (content.signerInfos.unsignedAttrs.attrType) -- passed  
Test step 30 (content.signerInfos.unsignedAttrs.attrValues) --  
passed  
Test step 31 (content.signerInfos.unsignedAttrs.attrType) -- passed  
Test step 32 (content.signerInfos.unsignedAttrs.attrValues) --  
passed  
End of test case TCPFSD-2  
Test case passed  
Date: Tue Jan 16 20:11:07 CET 2007

## 6.12 Test Case TCOCREQHTTP-1, TCOCREQASN1-1 and TCOCEXTENSIONS-1

Starting Test Session for: Petra Barzin

Date: Wed Jan 17 15:48:30 CET 2007

Component Under Test  
Manufacturer: OpenLimit  
Product Name: Basiskomponenten  
Version: Version 2.1  
Remarks:  
OCSP Client Tests

Starting test case TCOCREQHTTP-1  
Date: Wed Jan 17 15:49:09 CET 2007  
Test step 1 (HTTP-Encoding) -- passed  
Test step 2 (OCSP request) --

Starting test case TCOCREQASN1-1  
Date: Wed Jan 17 15:49:09 CET 2007  
Test step 1 (parse ASN.1) -- passed  
Test step 2 (optionalSignature) -- passed  
Remarks: optionalSignature not present  
Test step 3 (version) -- passed  
Test step 4 (requestorName) -- passed  
Remarks: RequestorName not present

Test step 5 (requestList) -- passed  
Remarks: RequestList present  
Test step 5 a) (reqCert.hashAlgorithm) -- passed  
Test step 5 b) (reqCert.issuerNameHash) -- passed  
Test step 5 c) (reqCert.issuerKeyHash) -- passed  
Test step 5 d) (reqCert.serialNumber) -- passed  
Test step 5 e) (singleRequestExtensions) --

Starting test case TCOCEXTENSIONS-1  
Date: Wed Jan 17 15:49:09 CET 2007  
Test step 0 (all extensions) -- passed  
Test step 6 (ServiceLocator) -- passed  
Remarks: ServiceLocator not present  
End of test case TCOCEXTENSIONS-1  
Test case passed  
Date: Wed Jan 17 15:49:09 CET 2007

passed  
Test step 6 (requestExtensions) -- passed  
Remarks: RequestExtensions not present  
End of test case TCOCREQASN1-1  
Test case passed  
Date: Wed Jan 17 15:49:09 CET 2007

passed  
End of test case TCOCREQHTTP-1  
Test case passed  
Date: Wed Jan 17 15:49:09 CET 2007

### **6.13 Test Case TCOCRESPHTTP-1 and TCOCRESPASN1-1**

Starting test case TCOCRESPHTTP-1  
Date: Wed Jan 17 15:50:19 CET 2007  
Test step 1 (HTTP-encoding) -- passed  
End of test case TCOCRESPHTTP-1  
Test case passed  
Date: Wed Jan 17 15:50:19 CET 2007

Starting test case TCOCRESPASN1-1  
Date: Wed Jan 17 16:21:04 CET 2007  
Test step 1 (OCSPResponse) -- passed  
Test step 2 (responseStatus) -- passed  
Test step 3 (responseBytes) -- passed  
Test step 4 (signatureAlgorithm) -- passed  
Test step 5 (signature) -- passed  
Test step 6 (certs) -- passed  
Test step 7 (version) -- passed  
Test step 8 (responderID) -- passed  
<br>  
Test step 9 (producedAt) -- passed  
Test step 10 a) (certID) -- passed  
Test step 10 b) (certStatus) -- passed  
Test step 10 c) (thisUpdate) -- passed  
Test step 10 d) (nextUpdate) -- passed  
Test step 10 e) (singleExtensions) -- passed with warning  
Remarks: revocationReason wird nicht angezeigt.  
Test step 11 (responseExtensions) -- passed with warning  
Remarks: Nonce wird nicht als Nonce angezeigt, sondern als  
Erweiterung mit der OID 1.3.6.1.5.5.7.48.1.2, ebenso wird die  
Erweiterung CrlID mit der OID 1.3.6.1.5.5.7.48.1.3 angezeigt.  
ArchiveCutoff wird korrekt angezeigt.  
End of test case TCOCRESPASN1-1  
Test case passed with warning  
Date: Wed Jan 17 16:21:04 CET 2007

## 6.14 Test Case SIGG

Starting test case SIGG  
Date: Wed Jan 17 16:22:40 CET 2007  
Test step 1 (ArchiveCutoff) -- passed  
End of test case SIGG  
Test case passed  
Date: Wed Jan 17 16:22:40 CET 2007

## 6.15 Test Case TCPVVALID-1

Starting Test Session for: Petra Barzin

Date: Wed Jan 17 17:08:39 CET 2007

Component Under Test

Manufacturer: OpenLimit

Product Name: Basiskomponenten

Version: Version 2.1

Remarks:

PATHVALID Tests

Starting test case TCPVVALID-1

Date: Wed Jan 17 17:47:25 CET 2007

Test step 1 (BuildAndValidateCertPath()) -- passed

End of test case TCPVVALID-1

Test case passed

Date: Wed Jan 17 17:47:25 CET 2007

## 6.16 Test Case TCPVSIGINVALID-1

Starting test case TCPVSIGINVALID-1

Date: Wed Jan 17 17:52:08 CET 2007

Test step 1 (ValidateCertPath()) -- passed with warning

Remarks: Es ist für den Benutzer nicht auf den ersten Blick ersichtlich, dass die Zertifikatskette nicht erfolgreich aufgebaut werden konnte. Erst im dritten Reiter der Zertifikatsanzeige findet er folgende Information: "Zertifikatsstatus: Zu diesem Zertifikat fehlt das Herausgeberzertifikat."

Test step 2 (BuildAndValidateCertPath()) -- passed with warning

Remarks: siehe oben.

End of test case TCPVSIGINVALID-1

Test case passed with warning

Date: Wed Jan 17 17:52:08 CET 2007

## 6.17 Test Case TCPVSIGINVALID-2

Starting test case TCPVSIGINVALID-2

Date: Wed Jan 17 17:59:00 CET 2007



Test step 1 (ValidateCertPath()) -- passed with warning

Remarks: Es ist für den Benutzer nicht auf den ersten Blick ersichtlich, dass die Zertifikatskette nicht erfolgreich aufgebaut werden konnte. Erst im dritten Reiter der Zertifikatsanzeige findet er folgende Information: "Zertifikatsstatus: Zu diesem Zertifikat fehlt das Herausgeberzertifikat."

Test step 2 (BuildAndValidateCertPath()) -- passed with warning

Remarks: siehe oben.

End of test case TCPVINVALID-2

Test case passed with warning

Date: Wed Jan 17 17:59:00 CET 2007

## 6.18 Test Case TCPVCERTREVO-1

Starting test case TCPVCERTREVO-1

Date: Wed Jan 17 18:08:19 CET 2007

Test step 1 (CheckStatusUsingCRL()) -- passed with warning

Remarks: Der Zertifikatswideruf ist für einen Benutzer nur sehr schwer erkennbar. Im dritten Reiter der Zertifikatsanzeige (Zertifizierungspfad) erhält der Benutzer nach Auswahl des EE-Zertifikats folgende Meldung:

```
<br>"Zertifikatsstatus
<br> Auf mindestens einem Pfad ist das Zertifikat nach dem
Schalenmodell für den Prüfzeitpunkt 17.1.2007 17:53:16 abgelaufen.
<br> Zu einem der Herausgeber wurde keine Sperrliste gefunden.
<br> Zu einem der Sperrlisten-Herausgeber wurde keine oder eine zu
alte Sperrliste gefunden.
<br> Zu einem der Herausgeberzertifikate wurde eine aktuelle, aber
vor dem Signaturzeitpunkt herausgegebene Sperrliste verwendet.
<br> Dieses Zertifikat wurde von einem der Herausgeber am 1.6.2002
01:00:00 zurückgezogen."
<br>
```

Test step 2 (CheckRevocationStatus()) -- passed with warning

Remarks: siehe oben.

Test step 3 (ValidateCertPath()) -- passed with warning

Remarks: nicht erkennbar

Test step 4 (BuildAndValidateCertPath()) -- passed with warning

Remarks: nicht erkennbar

End of test case TCPVCERTREVO-1

Test case passed with warning

Date: Wed Jan 17 18:08:19 CET 2007

## 6.19 Test Case TCPVEXPIRED-1

Starting test case TCPVEXPIRED-1

Date: Wed Jan 17 18:12:03 CET 2007

Test step 1 (ValidateCertPath()) -- passed with warning

Remarks: Für den Benutzer nur schwer als "expired" erkennbar. Erst auf dem dritten Reiter der Zertifikatsanzeige nach Auswahl des EE-Zertifikats erhält er die Meldung:

```
<br>"Zertifikatsstatus
```

```
<br> Das Zertifikat ist abgelaufen seit 1.1.2002 01:00:00."
```

Test step 2 (BuildAndValidateCertPath()) -- passed with warning

Remarks: siehe oben

End of test case TCPVEXPIRED-1

Test case passed with warning

Date: Wed Jan 17 18:12:03 CET 2007

## 6.20 Test Case TCPVINVALIDCA-1

Starting test case TCPVINVALIDCA-1

Date: Wed Jan 17 18:20:06 CET 2007

Test step 1 (ValidateCertPath()) -- passed with warning

Remarks: Es ist für den Benutzer nicht auf den ersten Blick ersichtlich, dass die Zertifikatskette nicht erfolgreich aufgebaut werden konnte. Außerdem enthält die Statusinformation zum EE-Zertifikat die Fehlermeldung:

```
<br>"Zertifikatsstatus
```

```
<br> Zu diesem Zertifikat fehlt das Herausgeberzertifikat."
```

Das trifft für das EE-Zertifikat aber nicht zu, sondern nur für das übergeordnete CA Zertifikat.

Test step 2 (BuildAndValidateCertPath()) -- passed with warning

Remarks: siehe oben.

End of test case TCPVINVALIDCA-1

Test case passed with warning

Date: Wed Jan 17 18:20:06 CET 2007